

12-07-99

A

12/06/99

12/06/99

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new non-provisional applications under 37CFR§1.53(b))		Attorney Docket No.	KLR:7146.048
		First Inventor or Application Identifier	Qian et al.
		Title	A METHOD OF CREATING A SEMANTIC VIDEO SUMMARY USING INFORMATION FROM SECONDARY SOURCES
		Express Mail Label No.	EL399605839US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231	
1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)		5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification <span style="float: right;">Total pages <span style="border: 1px solid black; padding: 0 5px;">9</span></span> (preferred arrangement set forth below) <ul style="list-style-type: none"> <li>- Descriptive Title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Federally Sponsored Research</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>		6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer readable copy</li> <li>b. <input type="checkbox"/> Paper copy (identical to computer copy)</li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul>	
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <span style="float: right;">[Total Pages <span style="border: 1px solid black; padding: 0 5px;">2</span></span>		<b>ACCOMPANYING APPLICATION PARTS</b>	
4. Oath or Declaration <span style="float: right;">[Total Pages <span style="border: 1px solid black; padding: 0 5px;">2</span></span> <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d))          (for continuation/divisional with Box 16 completed)           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> Deletion of Inventor(s)              Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.53(d)(2) and 1.33(b)</li> </ul> </li> </ul>		7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input checked="" type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney when there is an assignee 9. <input type="checkbox"/> English translation document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO 1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized) 13. <input type="checkbox"/> *Small Entity Statements (PTO/sb/09-12) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired. 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input checked="" type="checkbox"/> Other Check in the amount of \$878	
* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)			

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application filed \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label		(Insert customer number or attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Kevin L. Russell Chernoff Vilhauer McClung & Stenzel				
Address	601 SW Second Ave., Suite 1600				
City	Portland	State	OR	Zip Code	97204
Country	US	Telephone	(503) 227-5631	FAX	(503) 228-4373
Name (print type)	Kevin L. Russell	Registration No. (Attorney/Agent)	38,292		
Signature			Date	December 6, 1999	

# FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

Complete If Known

Application Number

Filing Date

First Named Inventor

Qian et al.

Examiner Name

Group/ Art Unit

TOTAL AMOUNT OF PAYMENT

\$878

Attorney Docket No.

KLR:7146.048

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. ☐ The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account Number

03-1550

Deposit Account Name

Chernoff Vilhauer McClung & Stenzel

☒ Charge any additional fee required under 37 CFR 1.16 & 1.17

2. ☒ Payment Enclosed

☒ Check

☐ Money Order

☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity

Small Entity

Fee Fee Fee Fee Fee Description Fee Paid  
Code (\$) Code (\$)

101 760 201 380 Utility filing fee

760

106 310 206 155 Design filing fee

107 480 207 240 Plant filing fee

108 760 208 380 Reissue filing fee

114 150 214 75 Provisional filing fee

SUBTOTAL (1)

\$760

2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid  
Total Claims 12 -20\* = 18 X 18 = -0-  
Indep. Claims 4 -3\* = 1 X 78 = 78  
Multiple Dependent =

\*For number of previously paid, if greater. For reissues, see below.

Large Entity Small Entity

Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 78 202 39 Independent claims in excess of 3

104 260 204 130 Multiple dependent claim, if not paid

109 78 209 39 \*Reissue independent claims over original patent

110 18 210 9 \*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

\$78

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee Fee Description Fee Paid  
Code (\$) Code (\$)

105 130 205 65 Surcharge - late filing fee or oath

127 50 227 25 Surcharge-late provisional filing fee or cover sheet

139 130 139 130 Non-English specification

147 2,520 147 2,520 For filing a request for reexamination

112 920\* 112 920\* Requesting publication of SIR prior to Examiner action

113 1840\* 113 1840\* Requesting publication of SIR after Examiner action

115 110 215 55 Extension for reply within first month

116 380 216 190 Extension for reply within second month

117 870 217 435 Extension for reply within third month

118 1,360 218 680 Extension for reply within fourth month

128 1,850 228 925 Extension for reply within fifth month

119 300 219 150 Notice of Appeal

120 300 220 150 Filing a brief in support of an appeal

121 260 221 130 Request for oral hearing

138 1,510 138 1,510 Petition to institute a public use proceeding

140 110 240 55 Petition to revive - unavoidable

141 1,210 241 605 Petition to revive - unintentional

142 1,210 242 605 Utility issue fee (or reissue)

143 430 243 215 Design issue fee

144 580 244 290 Plant issue fee

122 130 122 130 Petitions to the Commissioner

123 50 123 50 Petitions related to provisional applications

126 240 126 240 Submission of Information Disclosure Statement

561 40 581 40 Recording each patent assignment per property (times number of properties)

146 760 246 380 Filing a submission after final rejection (37 C.F.R. 1.129(a))

149 760 249 380 For each additional invention to be examined (37 C.F.R. 1.129(b))

Other (specify)

Other (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$40

SUBMITTED BY

Complete (if applicable)

Name (print type) Kevin L. Russell

Registration No.

38,292

Telephone

(503) 227-5631

Signature

Date

December 6, 1999

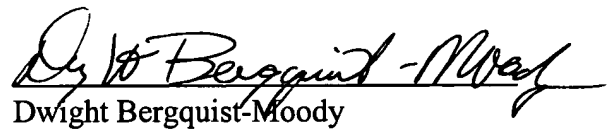
CERTIFICATE OF MAILING  
BY EXPRESS MAIL



Express Mail No.: EL399605839US

Date of Deposit: December 6, 1999

I hereby certify that the patent application attached hereto entitled A METHOD OF CREATING A SEMANTIC VIDEO SUMMARY USING INFORMATION FROM SECONDARY SOURCES, Qian et al., inventors, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to, Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

  
Dwight Bergquist-Moody